

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

RECEIVED

Tomo UENO

APR 2 4 2003

Serial No.: 09/646,988

GROUP 1700

Group Art Unit: 1762

Filed: November 16, 2000

Examiner: B. Chen

For: A METHOD FOR FORMING A FILM

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents Washington, D.C.

Sir:

[X] Each item of information contained in the Information Disclosure Statement filed herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

[] No item of information in the Information Disclosure Statement filed, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more

PLEASE ACCEPT THIS AS AUTHORIZATION TO DEBIT OR CREDIT FEES TO DEP. ACCT. 16-0331 PARKHURST & WENDEL than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Charles A. Wendel

Registration No. 24,453

1501 22 203 Date

Attorney Docket No.: SUGI:093

Attachment:

Information Disclosure Statement

PARKHURST & WENDEL, L.L.P. 1421 Prince Street, Suite 210 Alexandria, Virginia 22314-2805 Telephone: (703) 739-0220





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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Tomo UENO

APR 2 4 7003

Serial No.: 09/646,988

Group Art Unit: 1762

**GROUP 1700** 

Examiner: B. Chen

Filed: November 16, 2000

For: A METHOD FOR FORMING A FILM

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Chinese Office Action, with translation, which issued March 7, 2003 in a corresponding Chinese patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited herein.

JP '922 has already been cited in an Information Disclosure Statement filed on March 31, 2003.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Charles A. Wendel

Registration No. 24,453

CAW/dlb

Attorney Docket No.: SUGI:093

PARKHURST & WENDEL, L.L.P.

1421 Prince Street, Suite 210

Alexandria, Virginia 22314-2805

Telephone: (703) 739-0220

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Sheet 1 of 1				4	NO.	
FORM PTO 1449 (modified)			ATTY DOCKET NO APR 2 2	2003 SERIAL 09/646.9		
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			APPLICANT Tomo UENO			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use saveral sheets if necessary)			FILING DATE November 16, 2000		GROUP 1762	
			U.S. PATENT DOCUMEN	TS		
	200111-1-1-	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME			APPROPRIATE
	5,284,789	02/08/1994	MORI et al.	437	113	
			FOREIGN PATENT DOCUM		<del>                                     </del>	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO YES
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EXAMINER				DATE CONSID	ERED	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.